



1FW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 10/795,830 Confirmation No. 2799  
First Named Inventor : James E. Grimm  
Filed : 03/08/2004  
Title : Navigated Orthopaedic Guide and Method  
TC/A.U. : 3731  
Examiner : J. Kim

Atty. Docket No. : 0104-0060 (ZM0618)  
Customer No. : 43231

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

8-16-2006

Date of Deposit

C. R. Keenan  
Signature of person mailing paper or fee

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

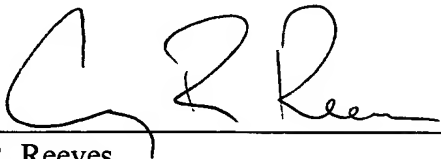
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant(s) determine(s) that the cited document(s) do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

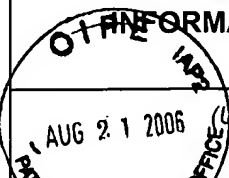
Appl. No. 10/795,830  
Atty. Docket No.: 0104-0060 (ZM0618)  
Information Disclosure Statement

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Respectfully submitted,

Dated: August 16, 2006

By:   
Cary R. Reeves  
Registration No. 35,334  
P.O. Box 1268  
Aledo, TX 76008  
Telephone: 817-594-5994

Substitute for FORM PTO-1449		Attorney Docket Number 0104-0060 (ZM0618)	Customer No. 43,231	
 <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary) Sheet <u>1</u> of <u>7</u>		First Named Inventor James E. Grimm	Application Number 10/795,830	
		Filing Date 03/08/2004	Group Art Unit N/A	Examiner NA

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME			
	4,211,228	7/8/1980	Cloutier			
	4,421,112	12/20/1983	Mains , et al.			
	4,457,307	7/3/1984	Stillwell			
	4,467,801	8/28/1984	Whiteside			
	4,487,203	12/11/1984	Androphy			
	4,493,317	1/15/1985	Klaue			
	4,501,266	2/26/1985	McDaniel			
	4,566,448	1/28/1986	Rohr, Jr.			
	4,567,886	2/4/1986	Petersen			
	4,653,488	3/31/1987	Kenna			
	4,736,737	4/12/1988	Fargie et al.			
	4,841,975	6/27/1989	Woolson			
	4,892,093	1/9/1990	Zarnowski et al.			
	4,907,577	3/13/1990	Wu			
	4,913,137	4/3/1990	Azer , et al.			
	4,952,213	8/28/1990	Bowman et al.			
	5,002,545	3/26/1991	Whiteside et al.			
	5,002,547	3/26/1991	Poggie , et al.			
	5,037,423	8/6/1991	Kenna			
	5,053,037	10/1/1991	Lackey			
	5,092,037	3/3/1992	Pinkerton			
	5,100,408	3/31/1992	Lackey			
	5,116,338	5/26/1992	Poggie , et al.			
	5,154,717	10/13/1992	Matsen, III , et al.			
	5,171,277	12/15/1992	Roger			
	5,234,433	8/10/1993	Bert et al.			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Substitute for FORM PTO-1449	Attorney Docket Number 0104-0060 (ZM0618)	Customer No. 43,231	
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>	First Named Inventor James E. Grimm	Application Number 10/795,830	
(Use several sheets if necessary) Sheet <u>2</u> of <u>7</u>	Filing Date 03/08/2004	Group Art Unit N/A	Examiner N/A

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME			
	5,275,603	1/4/1994	Ferrante et al.			
	5,282,803	2/1/1994	Lackey			
	5,342,367	8/30/1994	Ferrante et al.			
	5,342,368	8/30/1994	Petersen			
	5,364,401	11/15/1994	Ferrante , et al.			
	5,364,402	11/15/1994	Mumme et al.			
	5,411,505	5/2/1995	Mumme			
	5,413,579	5/9/1995	Tom Du Toit			
	5,417,694	5/23/1995	Marik , et al.			
	5,431,653	7/11/1995	Callaway			
	5,431,656	7/11/1995	Clift, Jr. et al.			
	5,445,642	8/29/1995	McNulty , et al.			
	5,454,816	10/3/1995	Ashby			
	5,470,335	11/28/1995	Du Toit			
	5,486,178	1/23/1996	Hodge			
	5,514,139	5/7/1996	Goldstein , et al.			
	5,514,140	5/7/1996	Lackey			
	5,569,261	10/29/1996	Marik , et al.			
	5,584,838	12/17/1996	Rona , et al.			
	5,597,379	1/28/1997	Haines , et al.			
	5,611,802	3/18/1997	Samuelson et al.			
	5,628,750	5/13/1997	Whitlock et al.			
	5,634,929	6/3/1997	Misko , et al.			
	5,643,272	7/1/1997	Haines et al.			
	5,649,928	7/22/1997	Grunde			
	5,669,914	9/23/1997	Eckhoff			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Substitute for FORM PTO-1449	Attorney Docket Number 0104-0060 (ZM0618)	Customer No. 43,231	
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>	First Named Inventor James E. Grimm	Application Number 10/795,830	
(Use several sheets if necessary) Sheet <u>3</u> of <u>7</u>	Filing Date 03/08/2004	Group Art Unit N/A	Examiner N/A

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME			
	5,676,668	10/14/1997	McCue , et al.			
	5,681,316	10/28/1997	DeOrio , et al.			
	5,683,397	11/4/1997	Vendrely , et al.			
	5,683,398	11/4/1997	Carls , et al.			
	5,720,752	2/24/1998	Elliott , et al.			
	5,743,916	4/28/1998	Greenberg , et al.			
	5,748,767	5/5/1998	Raab			
	5,788,700	8/4/1998	Morawa et al.			
	5,810,831	9/22/1998	D'Antonio			
	5,888,034	3/30/1999	Greenberg			
	5,891,158	4/6/1999	Manwaring , et al.			
	5,911,723	6/15/1999	Ashby , et al.			
	6,022,377	2/8/2000	Nuelle , et al.			
	6,056,756	5/2/2000	Eng , et al.			
	6,074,394	6/13/2000	Krause			
	6,077,270	6/20/2000	Katz			
	6,081,741	6/27/2000	Hollis			
	6,090,114	7/18/2000	Matsuno et al.			
	6,096,043	8/1/2000	Techiera et al.			
	6,096,082	8/1/2000	Stegmuller , et al.			
	6,106,529	8/22/2000	Techiera			
	6,162,228	12/19/2000	Durham			
	6,234,429	5/22/2001	Yang			
	6,267,762	7/31/2001	Millard et al.			
	6,267,770	7/31/2001	Truwit			
	6,342,056	1/29/2002	Mac-Thiong			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Substitute for FORM PTO-1449	Attorney Docket Number 0104-0060 (ZM0618)	Customer No. 43,231	
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>	First Named Inventor James E. Grimm	Application Number 10/795,830	
(Use several sheets if necessary) Sheet <u>4</u> of <u>7</u>	Filing Date 03/08/2004	Group Art Unit N/A	Examiner N/A

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME			
	6,458,135	10/1/2002	Harwin , et al.			
	6,503,249	1/7/2003	Krause			
	6,533,790	3/18/2003	Liu			
	6,551,325	4/22/2003	Neubauer et al.			
	6,554,837	4/29/2003	Hauri et al.			
	6,575,980	6/10/2003	Robie , et al.			
	6,595,997	7/22/2003	Axelson, Jr. et al.			
	6,638,281	10/28/2003	Gorek			
	6,648,896	11/18/2003	Overes , et al.			
	6,685,711	2/3/2004	Axelson, Jr. et al.			
	6,695,848	2/24/2004	Haines			
	6,712,824	3/30/2004	Millard et al.			
	6,740,092	5/25/2004	Lombardo , et al.			
	6,758,850	7/6/2004	Smith , et al.			
	6,796,986	9/28/2004	Duffner			
	6,859,661	2/22/2005	Tuke			
	6,920,347	7/19/2005	Simon , et al.			
	6,932,823	8/23/2005	Grimm et al.			
	6,942,700	9/13/2005	Williamson			
	6,962,593	11/8/2005	Sanford , et al.			
	2002/0133160	9/19/2002	Axelson, Jr. et al.			
	2002/0133162	9/19/2002	Axelson, Jr. et al.			
	2002/0151894	10/17/2002	Melkent			
	2002/0165552	11/7/2002	Duffner			
	2003/0069585	4/10/2003	Axelson, Jr. et al.			
	2003/0069591	4/10/2003	Carson et al.			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Substitute for FORM PTO-1449	Attorney Docket Number 0104-0060 (ZM0618)	Customer No. 43,231	
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>	First Named Inventor James E. Grimm	Application Number 10/795,830	
(Use several sheets if necessary) Sheet <u>5</u> of <u>7</u>	Filing Date 03/08/2004	Group Art Unit N/A	Examiner N/A

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME			
	2003/0083667	5/1/2003	Ralph			
	2003/0171757	9/11/2003	Coon et al.			
	2003/0181919	9/25/2003	Gorek			
	2003/0187351	10/2/2003	Franck			
	2003/0212403	11/13/2003	Swanson			
	2004/0039396	2/26/2004	Couture et al.			
	2004/0073228	4/15/2004	Kienzle			
	2004/0122305	6/24/2004	Grimm			
	2004/0153066	8/5/2004	Coon et al.			
	2004/0153083	8/5/2004	Nemec et al.			
	2004/0172044	9/2/2004	Grimm			
	2005/0021039	1/27/2005	Cusick et al.			
	2005/0049603	3/3/2005	Calton et al.			
	2005/0055028	3/10/2005	Haines			
	2005/0070910	3/31/2005	Keene			
	2005/0149039	7/7/2005	Haines et al.			
	2005/0149040	7/7/2005	Haines			
	2005/0149041	7/7/2005	McGinley et al.			
	2005/0171545	8/4/2005	Walsh et al.			
	2005/0182415	8/18/2005	Steffensmeier et al.			
	2005/0187557	8/25/2005	Collazo			
	2005/0203528	9/15/2005	Couture et al.			
	2005/0209598	9/22/2005	Grimm			
	2005/0234454	10/20/2005	Chin			
	2005/0234465	10/20/2005	McCombs			
	2005/0234466	10/20/2005	Stallings			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

[illegible]**DATE CONSIDERED**

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



Substitute for FORM PTO-1449	Attorney Docket Number 0104-0060 (ZM0618)	Customer No. 43,231	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION	First Named Inventor James E. Grimm	Application Number 10/795,830	
(Use several sheets if necessary) Sheet <u>7</u> of <u>7</u>	Filing Date 03/08/2004	Group Art Unit N/A	Examiner N/A

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUB. DATE	NAME OF APPLICANT			
	EP 0384562	7/17/1996	Wright Med.Tech			
	EP 0538152	8/2/1995	Jean Collomb			
	EP 0556998	6/18/1997	Wright Med.Tech			
	EP 0720834	6/2/1999	Bristol-Myers Squibb			
	EP 0778751	5/25/2005	Hudson Surgical			
	EP 0839501	7/8/1998	Osteonics Corp.			
	EP 1323386	7/2/2003	Smith & Nephew			
	EP 1424042	2/6/2004	Zimmer Technology			
	EP 1430842	6/23/2004	Zimmer Technology			
	EP 1442712	8/4/2004	Howmedica Osteonics			
	EP 1574177	9/14/2005	Zimmer Technology			
	EP 1579812	9/28/2005	Zimmer GmbH			
	JP 11244315	9/14/1999	Kyocera Corp.			
	WO 96/07361	3/14/1996	Hudson Surgical			
	WO 9629940	10/3/1996	Smith & Nephew			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	NEXGEN COMPLETE KNEE SOLUTION, The Zimmer Institute Surgical Technique MIS Quad-Sparing Surgical Technique for Total Knee Arthroplasty, 2004.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.